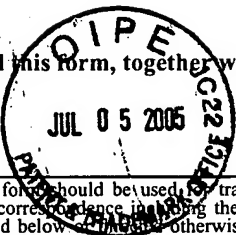


## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 or Fax (703) 746-4000



INSTRUCTIONS: This form should be used when transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence regarding the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below. Indicate otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

04/07/2005

Barnes & Thornburg  
 600 One Summit Square  
 Fort Wayne, IN 46802

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmittal

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Rhonda S. Bailey-Reed

(Depositor's name)

Rhonda S. Bailey-Reed

(Signature)

July 1, 2005

(Date)

07/06/2005 GWORDDF2 00000004 10601728

01 FC:2501 700.00 OP  
 02 FC:1504 300.00 OP

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/601,728	06/23/2003	Kenneth A. Steele	614359/82656	8738

TITLE OF INVENTION: SWING-ARM CLAMP

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$1000	07/07/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
MULLER, BRYAN R	3723	269-024000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Barnes &amp; Thornburg LLP

2. \_\_\_\_\_

3. \_\_\_\_\_

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

PHD, Inc.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Fort Wayne, IN USA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Gregory S. Cooper

Date

July 1, 2005

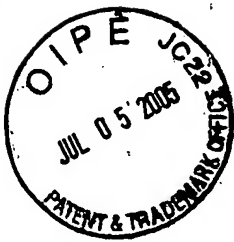
Typed or printed name

Registration No.

40,965

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



**BARNES & THORNBURG LLP**

600 One Summit Square  
Fort Wayne, Indiana 46802  
(260) 423-9440

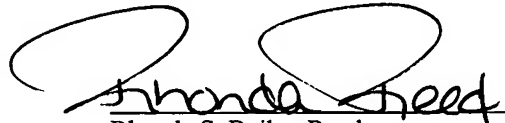
PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No.: 23641  
Application No.: 10/601,728  
Confirmation No.: 8738  
Filing Date: June 23, 2003  
Group Art Unit: 3723  
Examiner Name: Bryan R. Muller  
Attorney Docket No.: 614359/82656  
First Named Inventor: Steele, Kenneth A  
Title: SWING-ARM CLAMP

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

on July 1, 2005

  
Rhonda S. Bailey-Reed

**FEE TRANSMITTAL FOR FY 2005**

**TOTAL AMOUNT OF PAYMENT: \$ 1,000.00**

**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 CFR 1.16 and 1.17 and credit any overpayments to:

**Deposit Account Number: 02-1010 (614359/82656)**  
**Deposit Account Name: Barnes & Thornburg LLP**

- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Check      ☐ Credit Card      ☐ Money Order      ☐ Other ☐ None

## FEE CALCULATION

### 1. Basic Filing Fee:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1011	\$300.00	2011	\$150.00	Utility Filing Fee	
1012	\$200.00	2012	\$100.00	Design Filing Fee	
1017	\$200.00	2017	\$100.00	Design Filing Fee (CPA)	
1013	\$200.00	2013	\$100.00	Plant Filing Fee	
1014	\$300.00	2014	\$150.00	Reissue Filing Fee	
1005	\$200.00	2005	\$100.00	Provisional Filing Fee	
				SUBTOTAL (1)	0.00

### 2. Patent Search Fees:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1111	\$500.00	2111	\$250.00	Utility Fee	
1112	\$100.00	2112	\$50.00	Design Fee	
1113	\$300.00	2113	\$150.00	Plant Fee	
1114	\$500.00	2114	\$250.00	Reissue Fee	
				SUBTOTAL (2)	0.00

### 3. Patent Examination Fees:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1311	\$200.00	2311	\$100.00	Utility Examination Fee	
1312	\$130.00	2312	\$65.00	Design Examination Fee	
1313	\$160.00	2313	\$80.00	Plant Examination Fee	
1314	\$600.00	2314	\$600.00	Reissue Examination Fee	
				SUBTOTAL (3)	0.00

### 4. Extra Claim Fees:

			Extra Claims	Fee from Below	Fee Paid
Total Claims		-20**=	x	=	0.00
Independent Claims		- 3**=	x	=	0.00
Multiple Dependent Claim				=	0.00
				SUBTOTAL (4)	0.00

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description
1202	\$50.00	2202	\$25.00	Claims in excess of 20
1201	\$200.00	2201	\$100.00	Independent claims in excess of 3
1203	\$360.00	2203	\$180.00	Multiple dependent claims, if not paid

1204	\$88.00	2204	\$44.00	** Reissue independent claims over original patent
1205	\$18.00	2205	\$9.00	** Reissue claims in excess of 20 and over original patent

\*\* or number previously paid, if greater; For Reissues, see above. For multiple dependent claims, pay fee only once no matter how many multiple dependent claims you have.

#### 5. Application Size Fee

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250.00 (\$125.00 for small entity) for each additional 50 sheets or fraction thereof.


	Extra Sheets	Number of each additional 50 (Round up to whole number)	Fee from Below	Fee Paid
Total Sheets	<u>          </u> -100 = <u>          </u> /50 = <u>          </u>			
			SUBTOTAL (5)	0.00

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description
1081	\$250.00	2081	\$125.00	Sheets in excess of 100

#### 6. Additional Fees:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1051	\$130.00	2051	\$65.00	Surcharge - late filing fee or oath	
1052	\$130.00	1052	\$130.00	Surcharge - late provisional filing fee or cover sheet	
1053	\$130.00	1053	\$130.00	Non-English specification	
1812	\$2,520.00	1812	\$2,520.00	For filing a request for ex parte reexamination	
1804	\$920.00*	1804	\$920.00*	Requesting publication of SIR prior to Examiner action	
1805	\$1,840.00*	1805	\$1,840.00*	Requesting publication of SIR after to Examiner action	
1251	\$120.00	2251	\$60.00	Extension for reply within first month	
1252	\$450.00	2252	\$225.00	Extension for reply within second month	
1253	\$1,020.00	2253	\$510.00	Extension for reply within third month	
1254	\$1,590.00	2254	\$795.00	Extension for reply within fourth month	
1255	\$2,160.00	2255	\$1,080.00	Extension for reply within fifth month	
1401	\$500.00	2401	\$250.00	Notice of Appeal	
1402	\$500.00	2402	\$250.00	Filing a brief in support of an appeal	
1403	\$1,000.00	2403	\$500.00	Request for oral hearing	
1451	\$1,510.00	1451	\$1,510.00	Petition to institute a public use proceeding	
1452	\$500.00	2452	\$250.00	Petition to revive - unavoidable	
1453	\$1,500.00	2453	\$750.00	Petition to revive - unintentional	
1501	\$1,400.00	2501	\$700.00	Utility issue fee	\$700.00
1502	\$800.00	2502	\$400.00	Design issue fee	
1503	\$1,100.00	2503	\$550.00	Plant issue fee	
1504	\$300.00	1504	\$300.00	Publication Fee for early, voluntary, or	\$300.00

				<b>normal Publication</b>	
1460	\$130.00	1460	\$130.00	Petitions to the Commissioner	
1807	\$50.00	1807	\$50.00	Processing fee for provisional applications	
1808	\$130.00	1808	\$130	Processing fee, except for provisional applications	
1806	\$180.00	1806	\$180.00	Submission of Information Disclosure Statement	
8021	\$40.00	8021	\$40.00	Recording each patent assignment per property (times number of properties)	
1809	\$790.00	2809	\$395.00	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	\$790.00	2810	\$395.00	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	\$790.00	2801	\$395.00	Request for Continued Examination (RCE)	
1802	\$900.00	1802	\$900.00	Request for expedited examination of a design application	
Other fee (specify): _____					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (6)	<b>\$1,000.00</b>

  
 \_\_\_\_\_  
 Gregory S. Cooper  
 Reg. No. 40,965

Date: July 1, 2005

FWDS01 GSC

(Rev. 12/04)



**BARNES & THORNBURG LLP**

600 One Summit Square  
Fort Wayne, Indiana 46802  
(260) 423-9440


**PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Customer No.: 23641  
Application No.: 10/601,728  
Confirmation No.: 8738  
Filing Date: June 23, 2003  
Group Art Unit: 3723  
Examiner Name: Bryan R. Muller  
Attorney Docket No.: 614359/82656  
First Named Inventor: Steele, Kenneth A  
Title: SWING-ARM CLAMP

**Certificate Under 37 CFR 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

on July 1, 2005

  
Rhonda S. Bailey-Reed

**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

**ENCLOSURES (check all that apply)**

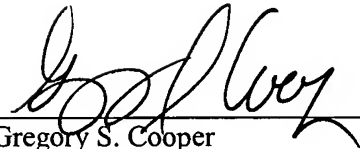
- |   |   |
|---|---|
| <input checked="" type="checkbox"/> <b>Fee Transmittal Form</b> | <input type="checkbox"/> <b>Information Disclosure Statement</b>                    |
| <input checked="" type="checkbox"/> <b>Fee Attached</b>         | <input type="checkbox"/> <b>Certified Copy of Priority Document(s)</b>              |
| <input type="checkbox"/> <b>Amendment/Response</b>              | <input type="checkbox"/> <b>Response to Missing Parts/Incomplete Application</b>    |
| <input type="checkbox"/> <b>After Final</b>                     | <input type="checkbox"/> <b>Response to Missing Parts under 37 CFR 1.52 or 1.53</b> |
| <input type="checkbox"/> <b>Affidavits/declaration(s)</b>       | <input type="checkbox"/> <b>Drawing(s)</b>  |
| <input type="checkbox"/> <b>Extension of Time Request</b>       | <input type="checkbox"/> <b>Licensing-related Papers</b>                            |
| <input type="checkbox"/> <b>Express Abandonment Request</b>     |   |

- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_
- ☐ After Allowance Communication to Group

- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ **Other Enclosure(s)**  
(please identify below):

- (a) **Part B-Fee(s) Transmittal**
- (b) **Return Receipt Postcard**
- (c) \_\_\_\_\_
- (d) \_\_\_\_\_

Remarks:

  
\_\_\_\_\_  
Gregory S. Cooper  
Reg. No. 40,965  
(260) 423-9440

Date: July 1, 2005